



Form PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

MATERIAL INFORMATION STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET NO .: 14104/198998 SERIAL NO.: 09/780,964

APPLICANT:

D'Amico et al.

February 9, 2001

FILING DATE:

GROUP:

2161

U.S. PATENT DOCUMENTS

6 7						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
m	5,758,097	05/26/98	Debe et al.			
m -	5,761,442	06/02/98	Barr et al.			7
m	5,806,048	09/08/98	Kiron et al.			4
m	5,852,811	12/22/98	Atkins			8 1
m	5,930,774	07/27/99 -	Chennault			Technology Center 2100
m	5,978,778	11/02/99	O'Shaughnessy			en
m	6,012,044	01/04/00	Maggioncalda et al.			2
m	6,012,046	01/04/00	Lupien et al.			a de
mi	6,018,722	01/25/00	Ray et al.		F	
		FOI	REIGN PATENT DOCUMENT	S		
			1	- 		TRANSLATION

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI YES	ATION NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	Pages printed from http://www3.investorsforecast.com Vision page, Febuary 3, 2000.
mi	A Prospectus for MutualMinds.com filed with the Securities and Exchange Commission (SEC) on April 13, 2000.
m	Pages printed from http://www.mutualminds.com Help page, July 17, 2000.

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box Please type a plus sign (+) inside this box Under the Paperwork Reduction Act of 1995, no persons are required to rest	S. Patent and Tradema	PTO/SB/08A (08-00) ed for use through 10/31/2002. OMB 0651-0031 erk Office: U.S. DEPARTMENT OF COMMERCE	+
Substitute for form 1449A/PTO		ompl t if Known	
INFORMATION DISCLOSURE	Application Number	09/780,964	
STATEMENT BY APPLICANT	Filing Date First Named Inventor	02/09/01 Gregory D'Amico et al.	
	Group Art Unit	2161	
(use as many sheets as necessary)	Examiner Name	Nga B. Nguyen	
Sheet 1 of Q1	Attorney Docket Number	14104/198998	

				U.S. PATENT DOCU	JMENTS	
Examiner nitials*	Cite	l Number	Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Rolevant Passages or Relevant
			(If known)		MM-DD-YYYY	Figures Appear
nn		5,806,047		Hackel et al.	09/08/1998	
m		5,812,987		Luskin et al.	09/22/1998	
120		5,946,666	B1	Nevo et al.	08/31/1999	
mu		6,134,535	B1	Belzberg	10/17/2000	
)		
I						
			\mathcal{A}			
			X			CEIVED
			\angle		nu	
1						0.7 2001
					9	Ebora
					,	2100
					(EP 0.7 2001 Group 2100
		/				
		/				

				FORE	IGN PATENT DOCUMEN	rs		
Examiner	Cite		Foreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials'	No.1	Office	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Τ®
		\perp			<u> </u>			
		لا						
		Ш	X		·			
		1				ļl		
\square				\rightarrow				\Box
			/	-				\Box
		+4			<u></u>	-		\sqcup
		1/				<u></u>		

Examiner Signature Date Considered 3/15/05			
	Fxaminer M	Date	
Signature Considered 3/37/03	I 1/// <i>A/A</i> 1//// <i>A/A/A/X</i> 0//	54.0	1 7/15/AT
	Signature ()	Considered	しつだいけつ
	Cignotore 7 7 7	00113100100	i = 0

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

/ %	ì		6	SEP 0 9	3004	109	
	olus sign (†) inside this					Appro-	PTO/SB/08B (08-00) ved for use through 10/31/2002. OMB 0651-0031 nark Office: U.S. DEPARTMENT OF COMMERCE
Under the Pape	erwork Reduction Act of	1995				a collection of information	on unless it contains a valid OMB control number.
Substi	tute for form 1449B/PT	0		V.B.AUP.N	به سرد	Co	ompl te if Known
					App	lication Number	09/780,964
INF	ORMATIO	N D	ISCLOS	URE	Filir	ng Date	02/09/01
ST	ATEMENT !	BY	APPLIC	ANT	Firs	t Named Inventor	Gregory D'Amico et al.
"		•	/ · L.O.	~! • !	Gro	up Art Unit	2161
	(use as many s	sheets	s as necessary)		Exa	miner Name	Nga B. Nguyen
Sheet	2	of	2		Atto	mey Docket Number	14104/198998

tem (book, megazine, journal, serial, symposine, citalog, etc.), date, page(s), volume-issue number(s), publisher, etly and/or county where publisher. Pages printed from website located at www.calcoastcu.org/infodesk/hffo/0999_a.htm entitled "investment Clubs offer Chance for Fun, Education and Profit" by Linda Abbott, conviolated 1999 International Search Report; International Application No. PCT/US01/04196; Date of Mailing, April 27, 2001. Written Opinion; International Application No. PCT/US01/04196; Date of Mailing, August 1, 2001. RECEIVED SEP 0 7 2nml Group 2100		_	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	_
entitled "Investment Clubs offer Chance for Fun, Education and Profit" by Linda Abbott, conviolated 1999 International Search Report; International Application No. PCT/US01/04196; Date of Mailing, April 27, 2001. Written Opinion; International Application No. PCT/US01/04196; Date of Mailing, August 1, 2001. RECEIVED SEP 0 7 2001 Group 2100	Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ.
Mailing, April 27, 2001. Written Opinion; International Application No. PCT/US01/04196; Date of Mailing, August 1, 2001. RECEIVED SEP 0 7 2001 Group 2100	Mil		entitled "Investment Clubs offer Chance for Fun, Education and Profit" by Linda Abbott,	
RECEIVED SEP 0 7 2001. Group 2100	m		International Search Report; International Application No. PCT/US01/04196; Date of Mailing, April 27, 2001.	
SEP 0 7 2001 Group 2100	m		Written Opinion; International Application No. PCT/US01/04196; Date of Mailing, August 1, 2001.	
SEP 0 7 2001 Group 2100				
Group 2100			RECEIVED	
			SEP 0 7 2001	
			Group 2100	
Signature Considered 3/15/05	xaminer	<u>/</u>	Nganguyen Date 3/15/05	_

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.